

Investor information

on how to handle conflicts of interest

Disclosure of conflicts of interest for the clients of LGT Capital Partners (FL) Ltd., Vaduz

Due to the multiple business activities of LGT Capital Partners (FL) Ltd., Vaduz in its function as management company/alternative investment fund manager, conflicts of interest may arise. When managing the respective fund, the parties involved are obliged to take all possible and appropriate organisational and personnel measures to prevent the investors' interests from being negatively affected.

If this is not possible, the parties involved attempt, to the best of their abilities and with the appropriate expertise, to adequately resolve the conflict or deal with it on just and equitable principles.

Accordingly, LGT Capital Partners (FL) Ltd. in its function as management company/alternative investment fund manager has settled the handling of conflicts of interest and the processes related thereto through internal procedures and disclosed in the constituent documents (e.g. prospectus, trust agreement) of the respective fund. Likewise, LGT Capital Partners (FL) Ltd. has disclosed the delegated tasks to commissioned third parties in the constituent documents (e.g. prospectus, trust agreement) of the respective fund.

In cases where appropriate and adequate procedures or effective organisational and/or personnel measures to prevent conflicts of interest are insufficient to guarantee to a reasonable extent that the risk of an impairment or damage of investors' interests can be excluded, they shall be disclosed vis-à-vis the investors to a comprehensible extent, i.e. in a fair and not misleading way. This serves as a basis for the investors to decide whether nevertheless, they would like to make use of the activities performed or services provided by LGT Capital Partners (FL) Ltd.

Pursuant to the legal and regulatory requirements¹, and the above mentioned obligation to disclose any conflicts of interest vis-à-vis the investors, LGT Capital Partners (FL) Ltd. records for the sake of completeness, that currently, no other conflicts of interest exist apart from the potential conflicts of interest as connected to the fund business that are handled appropriately as well as the potential conflicts of interest mentioned in the constituent documents (e.g. prospectus, trust agreement).

¹ see also Art. 39 UCITSV and Art. 61 AIFMV.